

DEPARTMENT OF THE NAVY OFFICE OF THE SECRETARY WASHINGTON. D. C. 20350

SECNAVINST 3811.1 Op-009

2 JUL 1981

SECNAV INSTRUCTION 3811. 1

Subj: Studies and Analyses pertaining to potential foreign threats and other intelligence-related subjects; management of

Ref:

(a) NAVINTCOMINST 3890.5A

(b) OPNAVINST 3811.1A

(c) SECNAVINST 5000.23B (NOTAL)

1. <u>Purpose</u>. To provide policy and guidance for the management and coordination of studies and analyses pertaining to the definition of potential foreign threats and other intelligence-related subjects.

2. Definitions

- a. <u>Studies and Analyses</u>. Examinations of a subject, conducted in-house by a Department of the Navy component or by outside organizations or individuals, undertaken to provide greater understanding of relevant issues and alternatives and which lead to conclusions and recommendations contributing to planning, programming, budgeting, decision-making and policy development.
- b. <u>Foreign threat</u>. Strengths, weaknesses, capabilities, intentions, strategy, doctrine, activities, system characteristics or other information concerning a foreign nation from which analysis can determine the potential impact of actions by that foreign nation upon the ability of the U.S. Navy to accomplish its mission.
- c. <u>Intelligence-related subjects</u>. Subjects related to foreign threat as defined above as well as all subjects pertaining to the intentions, doctrine and strategy of foreign military forces or to the intelligence activities of the United States or friendly nations. Studies and analyses relating to tactical intelligence capabilities or intelligence systems architectures of the Marine Corps do not fall within the scope of this instruction.

3. Policy

a. The Director of Naval Intelligence (Op-009) is charged with the responsibility for providing intelligence support to the Chief of Naval Operations and all elements and activities of the Department of the Navy and is the CNO's agent for all matters pertaining to intelligence production. In accordance with reference (a), Commander, Naval Intelligence Command is responsible to CNO for the overall management of intelligence production requirements submitted by elements and activities of the Department of the Navy. Reference (b) specifies Naval Intelligence Command responsibilities for threat support to the Weapons Systems Acquisition process.

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- b. Reference (c) states that all requirements for studies and analyses pertaining to unscheduled or special intelligence support shall be coordinated with the Director of Naval Intelligence (DNI) (Op-009).
- 4. Authority. The Director of Naval Intelligence (0p-009), assisted by the Commander, Naval Intelligence Command and/or the Commander, Naval Security Group Command, as appropriate, is responsible for overseeing and coordinating all studies and analyses pertaining to intelligence, foreign threat, and intelligence-related subjects within the Department of the Navy.
- 5. <u>Background</u>. Unless all Department of the Navy studies of foreign threats or other intelligence-related subjects are centrally coordinated, many of these study efforts may be duplicative of each other or of studies and analyses underway within or otherwise available through the Office of Naval Intelligence. Coordination with the Director of Naval Intelligence ensures the availability of support from Office of Naval Intelligence analysts, without disrupting the programmed activity of these analysts and delaying the production of intelligence in support of the Department of the Navy at large. Such coordination also provides a means of ensuring that the best available intelligence is utilized or at least considered in the preparation of studies and analyses done for the Department of the Navy.

6. Action

- a. All elements and activities within the Department of the Navy proposing to conduct in-house, or commission outside contractors or consultants to conduct, studies and analyses pertaining to foreign threats or other intelligence-related subjects will inform the Director of Naval Intelligence (OP-009) of such proposals, providing the following data:
- (1) Subject to be studied, including the specific aspects of the subject upon which emphasis will be placed.
- (2) Precise nature and content of the end-product and its scheduled completion date.
- (3) Estimated amount and nature of support which will be required from the Office of Naval Intelligence.
- (4) Copies of the Study Plan, Request for Proposal, Unsolicited Proposal or other applicable documentation.
- b. All Unsolicited Proposals or other solicitations received by any element of the Department of the Navy for the conduct of studies and analyses of foreign threats or intelligence-related subjects should be referred to the Director of Naval Intelligence for coordination.
- c. The Director of Naval Intelligence shall review all proposals referred by each element of the Department of the Navy and, in conjunction with the Director, Systems Analysis Division (Op-96), provide a written determination of:
- (1) Availability of existing (underway or planned) studies, analyses, and other pertinent data which would appear to satisfy the requirement.

- (2) Potential security clearance or access problems.
- (3) Apparent feasibility of the proposed study/analysis satisfying requirement in view of intelligence holdings.
- (4) Estimates of analytic assistance from within the Office of Naval Intelligence required to support the effort and a statement of the availability of such assistance.
- (5) Liaison with the requesting element or activity to determine alternatives to satisfy the requirement.
- d. Copies of all finished studies and analyses of foreign threats or intelligence-related subjects shall be provided to the Director of Naval Intelligence (OP-009) for inclusion in the Naval Intelligence data base.
- 7. Report. Symbol OPNAV 3811-2 is assigned to the requirement contained in paragraph 6. This report is approved for two (2) years from the date of this directive.

John Lehman

Secretary of the Navy

Distribution: See page 4

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